

## FORMALITIES LETTER



\*OC00000005330830\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,361	06/30/2000	Yasushi Shigemori	032735-003

21839

BURNS DOANE SWECKER & MATHIS L L P  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404

Date Mailed: 08/17/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/20/2000 KNOOR1 00000021 09607361

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*Sector*

Patent  
Attorney's Docket No. 032735-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Yasushi SHIGEMORI et al.

Application No.: 09/607,361

Filed: June 30, 2000

For: LIGATION OF DOUBLE-STRANDED  
DNAs



Group Art Unit: 1633

Examiner: Unassigned

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(e) dated August 17, 2000, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☐ \$65.00 (205) ☒ \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Request for Refund;
- ☒ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the Assignment recordation fee;
- ☒ other: an Information Disclosure Statement Transmittal Letter; an Information Disclosure Statement; a PTO-Form 1449 with one reference; a Declaration Pursuant to 37 C.F.R. § 1.821-.825; a Preliminary Amendment; a paper copy of the Sequence Listing along with a disk containing the computer readable Sequence Listing; a Claim for Convention Priority with one certified priority document, a Request for Corrected

Official Filing Receipt with copy of filing receipt marked in red; and a copy of the  
Notice to File Missing Parts of Nonprovisional Application.

- ☒ a check in the amount of \$ 240.00 for the fee due for missing parts; and the  
Petition for Extension of Time.
- ☐ charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for  
missing parts.
- ☐ Small entity status is hereby claimed.

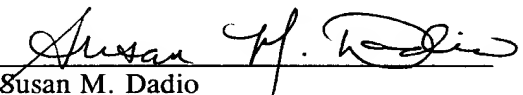
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to  
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:

  
Susan M. Dadio  
Registration No. 40,373

Date: November 17, 2000

#9,  
Dra  
1/26/01

Patent  
Attorney's Docket No. 032735-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of  
Yasushi SHIGEMORI et al.  
Application No.: 09/607,361  
Filed: June 30, 2000



Group Art Unit: 1633  
Examiner: Unassigned

For: LIGATION OF DOUBLE-STRANDED  
DNAs

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show a correction that is needed. The correction is as follows.

Under the heading "Applicant(s)", please change Yasushi Shigemori's residence to read  
--Chiba, Japan-- not "Kisarazu-shi, Japan".

Issuance of a corrected Official Filing Receipt is respectfully requested.

☒ This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required. Enclosed is a copy of the Declaration showing the correct information was timely submitted. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Susan M. Dadio  
Susan M. Dadio  
Registration No. 40,373

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: November 17, 2000

## FILING RECEIPT



\*OC00000005330829\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/607,361	06/30/2000	1633	726	032735-003	13	22	3

21839

BURNS DOANE SWECKER & MATHIS LLP  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404

Date Mailed: 08/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Chiba*  
Yasushi Shigemori, Kisarazu-shi, JAPAN;  
Michio Oishi, Tokyo, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-189211 07/02/1999

If Required, Foreign Filing License Granted 08/17/2000

## Title

Ligation of double-stranded DNAs

## Preliminary Class

435

Data entry by : SEBSIBE, ALMAZ

Team : OIPE

Date: 08/17/2000

